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## FACSIMILE COVER SHEET

<b>Date:</b>	<b>September 22, 2005</b>	<b>Fax:</b>	<b>571-273-8300</b>
<b>To:</b>	<b>U.S. Patent and Trademark Office</b>	<b>Phone:</b>	

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

<b>From:</b>	<b>Rachel V. Leiterman</b>
<b>Serial No.:</b>	<b>10/652,798</b>
<b>Docket:</b>	<b>LUM-02-09-02</b>
<b>Re:</b>	<b>Information Disclosure Statement</b>
<b>Pages:</b>	<b>9 (including cover sheet)</b>

## Message:

Re: Applicant(s): Michael J. Ludowise  
Assignee: Lumileds Lighting U.S. LLC  
Title: Resonant Cavity Light Emitting Device  
Serial No.: 10/652,798  
Examiner: Phat X. Cao  
Docket No.: LUM-02-09-02

Filed: August 28, 2003  
Group Art Unit: 2814RECEIVED  
OIPE/IAP

SEP 23 2005

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Transmittal Letter (1 page);
- (2) Information Disclosure Statement (2 pages);
- (3) Forms PTO/SB/08A and PTO/SB/08B citing 5 references (2 pages);
- (4) One (1) cited reference (3 pages);
- (5) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

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Brian D. Ogonowsky

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Rachel V. Leiterman

September 22, 2005

Via Facsimile  
Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Michael J. Ludowise  
Assignee: Lumileds Lighting U.S., LLC  
Title: Resonant Cavity Light Emitting Device  
Serial No.: 10/652,798  
Examiner: Phat X. Cao  
Docket No.: LUM-02-09-02

Filed: August 28, 2003  
Group Art Unit: 2814

Dear Sir:

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- (5) Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

☒ The fee has been calculated as shown below:

☒ Fee to File Information Disclosure Statement (IDS) \$ 180.00

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I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
Signature	<u>9/22/05</u> Date

Respectfully submitted,

A handwritten signature in cursive script, reading 'R. Leiterman'.

Rachel V. Leiterman  
Attorney for Applicant(s)  
Reg. No. 46,868

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michael J. Ludowise  
Assignee: Lumileds Lighting U.S. LLC  
Title: Resonant Cavity Light Emitting Device  
Serial No.: 10/652,798 Filing Date: August 28, 2003  
Examiner: Phat X. Cao Group Art Unit: 2814  
Docket No.: LUM-02-09-02

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San Jose, California  
September 22, 2005

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Commissioner for Patents  
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**INFORMATION DISCLOSURE STATEMENT  
UNDER CFR § 1.97(c) WITH FEE**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying forms PTO/SB/08A and PTO/SB/08B are called to the attention of the Examiner for the above patent application. A copy of the search report is enclosed. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made (other than as stated above); or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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-1-

Serial No. 10/652,798

Please charge our Deposit Account No. 502226 \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502226.

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R. Leiterman 9/22/05  
Signature Date

Respectfully submitted,

R. Leiterman

Rachel V. Leiterman  
Attorney for Applicants  
Reg. No. 46,868

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1
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of	2
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**Complete If Known**

Application Number	10/652,798
Filing Date	August 28, 2003
First Named Inventor	Michael J. Ludowise
Art Unit	2814
Examiner Name	Phat X. Cao
Attorney Docket Number	LUM-02-09-02

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				Complete If Known	
				Application Number	10/652,798
				Filing Date	August 28, 2003
				First Named Inventor	Michael J. Ludowise
				Art Unit	2814
				Examiner Name	Phat X. Cao
				Attorney Docket Number	LUM-02-09-02
Sheet	2	of	2		

[illegible]

Examiner Signature	Date Considered
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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